

#4

INFORMATION  
DISCLOSURE  
STATEMENT

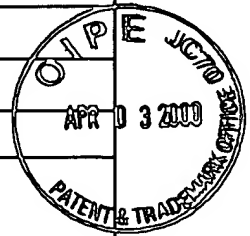
Atty. Docket No.: 110.01230101

Serial No.: 09/434,027

Applicant(s): Martin BLUMENFELD et al.

Filing Date: 4 November 1999

Group: 2877



## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
TN	5,293,563	03/08/94	Ohta			
	5,459,325	10/17/95	Hueton et al.			
	5,552,322	09/03/96	Nemoto et al.			
	5,552,928	09/03/96	Furuhashi et al.			
	5,556,529	09/17/96	Nemoto			
	5,556,539	09/17/96	Mita et al.			
	5,556,752	09/17/96	Lockhart et al.			
	5,578,832	11/26/96	Trulson et al.			
	5,593,839	01/14/97	Hubbell et al.			
	5,599,695	02/04/97	Pease et al.			
	5,631,734	05/20/97	Stern et al.			
	5,710,000	01/20/98	Sapolsky et al.			
	5,733,729	03/31/98	Lipshutz et al.			
	5,744,305	04/28/98	Fodor et al.			
	5,753,788	05/19/98	Fodor et al.			
	5,770,456	06/23/98	Holmes			
	5,770,722	06/23/98	Lockhart et al.			
	5,831,070	11/03/98	Pease et al.			
	5,834,758	11/10/98	Trulson et al.			
	5,837,832	11/17/98	Chee et al.			
	5,843,655	12/01/98	McGall			
	5,856,101	01/05/99	Hubbell et al.			
	5,856,104	01/05/99	Chee et al.			
	5,856,174	01/05/99	Lipshutz et al.			
	5,858,659	01/12/99	Sapolsky et al.			
	5,861,242	01/19/99	Chee et al.			
	5,871,628	02/16/99	Dabiri et al.			
TN	5,874,219	02/23/99	Rava et al.			

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EXAMINER

TN

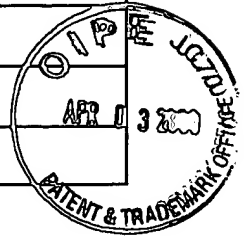
Date Considered

3/9/03

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#4

INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 110.01230101	Serial No.: 09/434,027
	Applicant(s): Martin BLUMENFELD et al.	
	Filing Date: 4 November 1999	Group: 2877



TN		5,885,837	03/23/99	Winkler et al.			
		5,889,165	03/30/99	Fodor et al.			
		5,919,523	07/06/99	Sundberg et al.			
		5,922,591	07/13/99	Anderson et al.			
		5,925,525	07/20/99	Fodor et al.			
		5,928,905	07/27/99	Stemmer et al.			
		5,945,334	08/31/99	Besemer et al.			
		5,959,098	09/28/99	Goldberg et al.			
		5,968,740	10/19/99	Fodor et al.			
TN		5,974,164	10/26/99	Chee			

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub Class	Transla tion	
							Yes	No
		NONE						

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

TN		Beattie et al., "Hybridization of DNA Targets to Glass-Tethered Oligonucleotide Probes," <u>Mol. Biotechnol.</u> , 4(3):213-225 (1995).
TN		Cohen et al., "Covalent attachment of DNA oligonucleotides to glass," <u>Nucleic Acids Res.</u> , 25(4):911-912 (1997).
TN		Hoffman, "Biologically Functionally Materials," <u>Biomaterials Science</u> , Ratner et al., eds., Academic Press, London, Title page, publication page, and pgs.124-130 (1996).
TN		Laursen et al., "Solid-Phase Methods in Protein Sequence Analysis," <u>Methods of Biochemical Analysis</u> , Vol. 26, Glick, ed., John Wiley & Sons, Inc., Title page, publication page and pgs 201-284 (1980).

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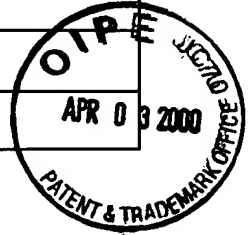
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EXAMINER	TN	Date Considered	3/9/03
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

#4

<b>INFORMATION DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> 110.01230101	<b>Serial No.:</b> 09/434,027
	<b>Applicant(s):</b> Martin BLUMENFELD et al.	
	<b>Filing Date:</b> 4 November 1999	<b>Group:</b> 2877



<i>TM</i>		Millard et al., "Preparation of Glass Plates with Cerium Oxide for DNA Sequencing," <u>Biotechniques</u> , 19(4):576 (1995).
<i>TM</i>		Rogers et al., "Immobilization of Oligonucleotides onto a Glass Support via Disulfide Bonds: A Method for Preparation of DNA Microarrays," <u>Anal. Biochem.</u> , 266(1):23-30 (1999).
<b>EXAMINER</b> <i>TM</i>		<b>Date Considered</b> <i>3/9/03</i>
<small>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>		

Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce  
(Also form PTO-1449)

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#6

**INFORMATION  
DISCLOSURE  
STATEMENT**

Atty. Docket No.: 110.01230101

Serial No.: 09/434,027

Confirmation No.: 3391

Applicant(s): Martin Blumenfeld, et al.

Filing Date: November 4, 1999

Group: 2877

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
TN	5,378,883	01/03/95	Batterman et al.			
TN	5,633,724	05/27/97	King et al.			
TN	5,672,881	09/30/97	Striepeke et al.			

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	SubClass	Translation	
						Yes	No
TN	99/36578 A1	07/22/99	PCT				
TN	00/12123 A2/A3	03/09/00	PCT				X

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

TN		Advertising Supplement, <i>Science</i> , 292(5515):317-344 (2001).
TN		IMAGETEAM™ 4710HD/HD10 Fixed Mount 2D Image Reader Product Data Sheet [online]. Hand Held Products, 1999-2001 [retrieved on 2001-11-07]. Retrieved from the Internet: < <a href="http://www.handheld.com/HTMLDocs/4710HD-SS_Rev_B.pdf">http://www.handheld.com/HTMLDocs/4710HD-SS_Rev_B.pdf</a> >.

EXAMINER

TN

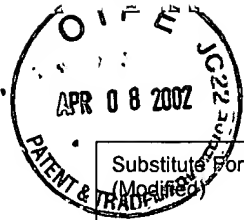
Date Considered

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Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce  
(Also form PTO-1449)



#9  
Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09531-091001	Application No. 09/434,027
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Martin Blumenfeld, et al.	
		Filing Date November 4, 1999	Group Art Unit Unknown

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
TN	AA	6,229,635	05/08/01	Wulf			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications									
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation		
							Yes	No	
TN	AL	199 14 279 C1	09/07/00	Germany			Abstr.		
TN	AM	0 973 040 A2	01/19/00	EPO					
TN	AN	WO 00/68670	11/16/00	PCT					
	AO								
	AP								

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature TN	Date Considered 3/9/03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	